127(/,$%,/,7,(6

/21* 7(50 3520,6,762 *,9(
&XUUHQW7OLVWHG&RQWUROOHG KDQJHURQJHUURPLVVLRQ

127(/,48,',7<

7KHROORZLQJDKFEMRQWDQD 'LVWULQFWQF&DGXQHWVHDGXFHGPEXQRWV DYDLEHQEKHRUXVH
RIGRPSURVUHGVWUZLFWRQRQDU $PRRQWDVLQEOHGHVDEWRKQRQJHUP LQVWHWDQGRZPHQQW
RWKHPEXQHDWLEGWKRYHUEQRDWSHFLILFVWKDWSHRYHUQLQJJSEURKLEWVLRQYHU
DPRQQWVUSURSURUHWHGRWHHQGRHRQGHRQWHGDVPLQXWHGRHRQDGHVLJQDWHGV
JHQHUSHQGZLWKQRWHDU XQH KDQEWUDFWHG DV XQDYDLODEOH

)LQDQFLDO DVVHWV -XQH

/HVV WKRVH XQDYDLODEOH IRU JHQHUDO H[SHQGLWXUHV ZLWKLQ RQH \HDU GXH WR
'RQRUSVHG UHVWULFWLRQV
5HVWULFWHGRU ZLWK SXUSRVH UHVWULFWLRQV
%RDUG GHVLJQDWLRQV
4XDVLQGRZPHQW IXQGV WKHUPDQHQW HQGRZPHQW
2WKHUVLJQDWLRQV WR D IXWXUH SHULRG
)LQDQFLDO DVVHWV DYDLODEOHH[SHQGLWXUHV DQG HQGRZPHQW IXQGV IRU JHQHUDO
ZLWKLQ RQH \HDU

7KHRQWDQD 'LVWULFWSXEOLFVFKRROV DOO\ VXSSRUWHG E\ UHVWULFWHG FRQWULEXWLRQV %
SDUWLFXODU PDQQHU7KHRUQWDQDX/HJLVODWXUHWKURXJKWKHVWDWH DQG FRQWULEXWHV WR PH
7KXV ILQDQFLDO DVVHWV PD\ QRW EH DYDLODEOH7KHRQWDQD'HSDUWPHQWRI(GXFDWLRQ
KDV D SROLF\ WR VWUXFWXUH LWV ILQDQFLDO DVVHWV WR EH DYDLODEOHRQV 7KHVH JH
0RQWDQD 'LVWULFW7HVWLQJ&RPSDVK LQ H[FHVV RIWHDUV\QHJDWLYHWKHRQHWDQD
GLUHFWLRQ RI WKH JRYHUQRUDQJLEHRXGWZKRSRQHQWV IRXQGHU ERDUG GHVLJQDW

127(5,6. 0$1$*(0(17

7KH 0RQWDQD 'LVWULFW RL WKHKUXLW6KHEQL&KHSRVHG WR YDULRXV W\SHV RI ULVN RI
DQG FRQWHQWV E HPSOR\HH WRUWV F SURIHVVLRQDO OLDELOLW\ L H HUURUV DQ
LQMXULHV DQG I PHGLFDO LQVXUDQFH FRVWV RI HPSOR\HHV 6HYHUDO SRWHQWLDO W\SHV DU
WUDQVIHUULQJ DOO ULVNV RI ORVV H[FHSW IRU UHODWLYHO\ VPDOO GHGXFWLEOH D
SURIHVVLRQDO OLDELOLWLHV DQG HPSOR\HH PHGLFDO
WKH 0RQWDQD 6WDWH)XQG D VWDWH IXQG IRU ZRUNHUV¶ FRPSHQVDWLRQ DQG 7KHUH QRQ
SRWHQWLDO ORVVHV IURP HQYLURQPHQWDO GDPDJHV